



Access to Patented Technologies: Results of a Survey of the

AAAS Scientific Community

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Background

- Bayh-Dole Act (1980)
- Patenting of biological components and organisms

Concerns

- Anti-commons effect?
- Patent thickets?





Survey Sample

- Pilot and US-based Survey: American Association for the Advancement of Science (AAAS) Membership
 - 120,000 members in various scientific disciplines
 - Pilot Sample size = 4,000; 843 relevant* respondents
 - US Survey Sample size = 8,000; 2,157 respondents

no such question was asked, and all responses were included in the analysis presented here.

^{*} During the pilot phase of the survey, respondents were asked if their work involved research or intellectual property management. If it did not, their answers were not included in the analysis presented here. Of the 1,111 respondents to the survey, 268 were excluded for that reason, leaving 843 "relevant" respondents. During the US phase of the survey,





Survey Instrument

Pilot:

- 37 questions about experiences since 2001 relating to:
- acquisition of patented and otherwise protected technologies
- development of intellectual property and methods of legal protection (focusing on patents)

Survey:

- 57 questions about experiences since 2002 relating to above plus:
- publications and access to scientific literature*
- publicly-funded data*

^{*} Only the topics studied via the pilot survey will be presented here; a full analysis of the US survey data will be published by SIPPI at a later date.





Web-based survey form

American Association for the Advancement of Science

Science and Intellectual Property in the Public Interest

Question # 11 of 33 In what field of science do you primarily work?

- Life sciences
- Biological sciences
- Medicine/Health sciences
- Biochemistry
- Chemistry
- Earth Sciences
- Engineering
- Math and computer sciences
- Physics and astronomy
- Social/behavioral sciences
- O Non-Scientific Field
- O Other:

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Count of Respondents by Field







Count of Respondents by Sector







Acquisition of Protected Technology







Method of Acquisition







Time until acquisition









Effects of Difficulties Obtaining Protected Technology





Researchers who reported difficulty obtaining patented technology affected their research

11 Pilot (40% of respondents who obtained IP encountered difficulty)

US (32% of respondents who obtained IP encountered difficulty)





Creating Intellectual Property

Pilot:

46% of relevant respondents had created IP since 2001 (n=372)

• Within every scientific field, industry reported creating the more IP than academia

Survey:

52% of respondents reported having created IP since 2002 (n=1,027)





Methods of Protecting Innovations







Method of Dissemination of Patented Technology







Final Comments

- Patenting as the preferred method of protection
- Patenting of Academic IP
- Patenting of Industry IP





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